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## Categorical equivalence and central relations

### Abstract

A finite algebra is called preprimal if its clone of term operations is a coatom in the lattice of clones. These algebras are of interest both as a generalization of primal algebras, and as a toehold in the difficult analysis of the lattice of clones on a finite set.

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# CATEGORICAL EQUIVALENCE AND CENTRAL RELATIONS

CLIFFORD BERGMAN

A finite algebra is called *preprimal* if its clone of term operations is a coatom in the lattice of clones. These algebras are of interest both as a generalization of primal algebras, and as a toehold in the difficult analysis of the lattice of clones on a finite set.

Two algebras  $\mathbf{A}$  and  $\mathbf{B}$  are called *categorically equivalent* if the varieties  $\mathbf{V}(\mathbf{A})$  and  $\mathbf{V}(\mathbf{B})$  are equivalent as categories via a functor mapping  $\mathbf{A}$  to  $\mathbf{B}$ . We write  $\mathbf{A} \equiv_c \mathbf{B}$  to indicate this relationship. It was shown in [5] that if  $\mathbf{A}$  is primal, then  $\mathbf{A} \equiv_c \mathbf{B}$  if and only if  $\mathbf{B}$  is primal. This was extended to preprimal algebras in [3] and [4]. Unfortunately, the treatment of one case—that of central relations—is incorrect in the second paper and is difficult to follow in the first. The purpose of this note is to provide a clear and straightforward argument for this one case.

Let  $e$  be an equivalence relation on  $\{1, 2, \dots, h\}$ , for some positive integer  $h$ , and let  $A$  be a set. We let

$$\delta_e = \{(x_1, x_2, \dots, x_h) \in A^h : (i, j) \in e \implies x_i = x_j\}.$$

Relations of the form  $\delta_e$  are called *generalized diagonals*, and are invariant under every operation on  $A$ . We will call  $e$  nontrivial if  $\delta_e \neq A^h$ .

**Definition.** Let  $\mathbf{A}$  be a finite set,  $h$  a positive integer, and  $\rho \subsetneq A^h$ . Then  $\rho$  is an  *$h$ -ary central relation* on  $A$  if

- for every nontrivial  $e$ ,  $\delta_e \subseteq \rho$ ;
- for every permutation  $\sigma$  of  $\{1, 2, \dots, h\}$ ,  $(x_1, x_2, \dots, x_h) \in \rho \implies (x_{\sigma 1}, x_{\sigma 2}, \dots, x_{\sigma h}) \in \rho$ ;
- there is a nonempty subset  $Z(\rho)$  of  $A$  such that  $Z(\rho) \times A^{h-1} \subseteq \rho$ .

The set  $Z(\rho)$  is called the *center* of  $\rho$ .

Let  $\Theta$  be a set of relations on a set  $A$ . By  $\mathcal{P}(\Theta)$  we mean the clone of all operations preserving every member of  $\Theta$ . Let us call a finite algebra  $\mathbf{A}$  of  *$h$ -ary central type* if the clone of term operations on  $\mathbf{A}$  is equal to  $\mathcal{P}(\rho)$  for some  $h$ -ary central relation  $\rho$  on  $A$ . Unary central type is a special case of subalgebra-primal, which is considered in [2]. For the remainder of this paper we restrict to the case that  $h > 1$ .

Let  $h$  be an integer greater than 1, and  $B_h = \{0, 1, 2, \dots, h\}$ . We define

$$\nu_h = \{(x_1, x_2, \dots, x_h) \in B_h^h : \{x_1, \dots, x_h\} \neq \{1, 2, \dots, h\}\}.$$

(Equivalently,  $\nu_h$  is the set of those  $h$ -tuples containing at least one component equal to 0, or at least one pair of equal components.) Note that  $\nu_h$  is the unique central relation on  $B_h$  such that  $Z(\nu_h) = \{0\}$ . Finally, let  $\mathbf{B}_h = \langle B_h, \mathcal{P}(\nu_h) \rangle$ .

**Theorem.** *Let  $h$  be an integer greater than 1 and let  $\mathbf{A}$  be of  $h$ -ary central type. Then  $\mathbf{A} \equiv_c \mathbf{B}_h$ .*

*Proof.* Let  $\rho$  be the  $h$ -ary central relation on  $A$  guaranteed by the hypothesis. By McKenzie's theorem [6, Corollary 6.1], it suffices to find an invertible idempotent term  $s$  on  $\mathbf{A}$  such that  $\mathbf{A}(s)$  is weakly isomorphic to  $\mathbf{B}_h$ . By Theorem 2.1 (and the remarks following the proof) of [2] and Lemma 2.4 of [4], this is equivalent to finding an operation  $s \in \mathcal{P}(\rho)$  such that

- (1) For all  $x \in A$ ,  $s(s(x)) = s(x)$ ;
- (2)  $\mathcal{P}(\rho)$  contains an  $(h+1)$ -ary near unanimity term;
- (3) For every pair  $\theta, \psi$  of distinct subalgebras of  $\mathbf{A}^h$ ,  $s(\theta) \neq s(\psi)$ ;
- (4) The relational structures  $\langle s(A), s(\rho) \rangle$  and  $\langle B_h, \nu_h \rangle$  are isomorphic.

In 3 and 4, by  $s(\theta)$  we mean  $\{ (s(x_1), \dots, s(x_h)) : (x_1, x_2, \dots, x_h) \in \theta \}$ .

Since  $\rho \neq A^h$ , there is  $\mathbf{a} = (a_1, a_2, \dots, a_h) \in A^h - \rho$ . From the definition of central relation, for every  $1 \leq i < j \leq h$  we have  $a_i \neq a_j$  and  $a_i \notin Z(\rho)$ . Since the center is nonempty, we fix an element  $a_0$  of  $Z(\rho)$ .

Define the unary operation  $s$  on  $A$  by

$$s(x) = \begin{cases} x & \text{if } x \in \{a_0, a_1, \dots, a_h\} \\ a_0 & \text{otherwise.} \end{cases}$$

Our first task is to prove that  $s$  preserves  $\rho$ . So let  $\mathbf{x} = (x_1, \dots, x_h) \in \rho$  and  $\mathbf{y} = (y_1, \dots, y_h) = s(\mathbf{x})$ . If some pair of components of  $\mathbf{x}$  are equal, then the corresponding pair of components of  $\mathbf{y}$  are equal, thus  $\mathbf{y} \in \rho$ . So suppose that the components of  $\mathbf{x}$  are pairwise distinct. Since no permutation of  $\mathbf{a}$  is in  $\rho$ , there must be an  $i \leq h$  such that  $y_i = s(x_i) = a_0$ , so  $\mathbf{y} \in \rho$ . We conclude that  $s \in \mathcal{P}(\rho)$ .

It is obvious from its definition that  $s$  is idempotent (i.e., condition 1 holds) and  $s(A) = \{a_0, a_1, \dots, a_h\}$ . Furthermore, the mapping  $a_i \mapsto i$ , for  $i = 0, 1, 2, \dots, h$  satisfies condition 4. It is well-known that every central relation admits a near-unanimity term. In fact, we can obtain such a term by defining  $m(x_0, x_1, \dots, x_h)$  to be  $a_0$  whenever the “near-unanimity” conditions do not apply.

We now consider condition 3. For  $\mathbf{x} \in A^h$ , let  $e(\mathbf{x}) = \{ (i, j) : x_i = x_j \}$ . We require the following Lemma.

**Lemma.** *Let  $\mathbf{x} \in A^h$ , and let  $\theta$  be the subalgebra of  $\mathbf{A}^h$  generated by  $\mathbf{x}$ . If  $e(\mathbf{x})$  is nontrivial, then  $\theta = \delta_{e(\mathbf{x})}$ . If  $e(\mathbf{x})$  is trivial, then  $\theta = \rho$  if  $\mathbf{x} \in \rho$ , else,  $\theta = A^h$ .*

*Proof of Lemma.* Let  $\mathbf{y}$  be any element of the subalgebra that, according to the statement of the Lemma, is supposed to be equal to  $\theta$ . Define a unary

operation  $f$  by  $f(x_i) = y_i$ , for  $i = 1, 2, \dots, h$ , and  $f(w) = a_0$  otherwise. Since  $e(\mathbf{y}) \supseteq e(\mathbf{x})$ ,  $f$  is well-defined. It suffices to prove that  $f \in \mathcal{P}(\rho)$ .

So let  $\mathbf{z} \in \rho$ . If  $\mathbf{z}$  has a pair equal components, then so does  $f(\mathbf{z})$ , so  $f(\mathbf{z}) \in \rho$ . Thus we can assume that the components of  $\mathbf{z}$  are pairwise distinct. If, for some  $i \leq h$ ,  $z_i \notin \{x_1, \dots, x_h\}$ , then  $f(z_i) = a_0 \in Z(\rho)$ , so again,  $f(\mathbf{z}) \in \rho$ . The only remaining possibility is that  $\mathbf{z}$  is a permutation of  $\mathbf{x}$ . In that case,  $\mathbf{x} \in \rho$ , so  $\mathbf{y} \in \rho$ . Since  $f(\mathbf{z})$  is a permutation of  $\mathbf{y}$ , we conclude that  $f(\mathbf{z}) \in \rho$ .  $\square$

Now we verify condition 3. Let  $\theta, \psi$  be subalgebras, and assume that  $\theta \not\subseteq \psi$ . Therefore, there is a join-irreducible subalgebra  $\mu$  such that  $\mu \subseteq \theta$  and  $\mu \not\subseteq \psi$ . Since every join-irreducible subalgebra is 1-generated, it follows from the Lemma that either  $\mu$  is a generalized diagonal, or  $\mu = \rho$ . For each of the possibilities, we show, via the Lemma, that there is a generator  $\mathbf{x}$  of  $\mu$  with  $\mathbf{x} \in s(A)^h$ . Then  $\mathbf{x} \in s(\theta) - s(\psi)$  as desired.

If  $\mu = A^h$ , we take  $\mathbf{x} = (a_1, a_2, \dots, a_h)$ . If  $\mu = \rho$ , take  $\mathbf{x} = (a_0, a_2, \dots, a_h)$ . Finally, suppose that  $\mu = \delta_e$  with  $e$  nontrivial. Choose any  $\mathbf{x} \in \{a_1, \dots, a_h\}^h$  with  $e(\mathbf{x}) = e$ .  $\square$

*Remarks.* It follows from the Lemma that if  $\mathbf{A}$  is of  $h$ -ary central type, then the only join-irreducible members of  $\text{Sub}(\mathbf{A}^h)$  are generalized diagonal relations and, possibly, the central relation  $\rho$ . In fact, if  $h = 2$ , then  $\rho$  is indeed join-irreducible. However, it is easy to show that if  $h > 2$  then there are distinct nontrivial equivalence relations  $e$  and  $e'$ , such that  $\delta_e \vee \delta_{e'} = \rho$ .

The notion of a c-minimal algebra was introduced in [1]. A finite c-minimal algebra, if it exists, is unique in its categorical equivalence class. It follows from the proof of the Theorem that every  $\mathbf{B}_h$  is c-minimal. As a corollary we obtain: If  $h \neq k$  then  $\mathbf{B}_h \not\equiv_c \mathbf{B}_k$ . If  $\mathbf{A}$  is  $h$ -ary central and  $\mathbf{A}'$  is  $k$ -ary central, then  $\mathbf{A} \not\equiv_c \mathbf{A}'$ . No algebra can be both  $h$ -central and  $k$ -central.

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